

# 2016 FESTIVAL ON THE LAKE at A.J. Jolly Park

Saturday, August 13, 2016

Dear **Winery**,

The Jolly Park Community Development Council (CDC) is planning their Festival on the Lake at A.J. Jolly Park on Saturday, August 13, 2016, 11 AM to 11 PM with something for everyone. A number of activities are in the planning stages, such as a music festival with several of the top local artists, a wine festival with several of the best local/Tri-State wineries, lots of kid's activities, canoeing/kayaking, food and beverages, biking, nature walks, and local crafter displays/sales!

We are accepting applications for the following types of wineries:

**Booths:** a 10' x 10' space and tent will be provided. Multiple spaces may be available. Power will be an additional charge depending on individual needs.

The vending spaces available are inside the main festival/concert area. Since we are expecting a large crowd for the day, it is imperative that each vendor has the experience and ability to serve large numbers of people quickly for the day.

Food and general beverage sales are scheduled from 11:00 AM to 10:00 PM with the wine festival scheduled from 12:00 PM to 10:00 PM.

Please return your application by June 1, 2016 as it is important that the Jolly Park CDC knows which wineries are coming for planning purposes. Please send your application with your check made out to "Jolly Park CDC." to the address below. Please note we do not hold spaces. Spaces are not booked until a completed application and full payment is received. Spaces are non-refundable. If you have any questions, please contact me by email at [513rents@gmail.com](mailto:513rents@gmail.com) or by phone at 859-743-4658.

Sincerely,

Jackie Huff  
Coldiron Event Rentals  
7623 Production Drive  
Cincinnati, Ohio 45237

**2016 FESTIVAL ON THE LAKE at A.J. JOLLY PARK**  
**WINERY VENDOR REQUIREMENTS**  
**(Rules governing participation)**

Forms: Final applications and full payments due by June 1, 2016. All checks must clear the bank by June 15, 2016 to be eligible for the festival.

1. **Set-Up and Take Down:** Setup for the festival will be between 5:00 PM and 8:00 PM on Friday, August 12, 2016 and then continue from 7:00 AM to 9:00 AM on Saturday, August 13, 2016. All setups must be complete by 9:00AM for electrical inspection and 9:30 AM for the Health Department inspection Saturday, August 13, 2016. You must be available at the inspection time! Vendors must be ready to sell to the public by 11 AM on Saturday, August 13, 2016. Takedown may not begin until 7 PM on Saturday, August 13, 2016. Complete take down must be complete by 11:30 PM Saturday, August 13, 2016. All aspects of setup and breakdown are the responsibility of the crafter. The Jolly Park CDC and Campbell County Parks and Recreation Department cannot guarantee assistance.
2. **Vehicle Unloading and Parking:** Vehicles are not allowed on the grass inside the bowl area without clearance from the Jolly Park CDC Festival Chair. All vehicles must be moved to the vendor parking area by 9:30 AM Saturday, August 13, 2016.
3. **Insurance:** All wineries must secure Commercial General Liability insurance, including products liability with minimum limits of coverage at \$1,000,000 each occurrence and \$1,000,000 general total limit and \$1,000,000 products aggregate limit.

The Jolly Park CDC, Campbell County Fiscal Court, Campbell County Parks and Recreation, Coldiron Concessions, Inc. and its assignees must be added as additional insureds as additional insureds on said Commercial General Liability insurance, including Product Liability coverage. A Certificate of Insurance evidencing this insurance must be submitted with your application or you will not be allowed to set up your booth on the festival grounds. If you are subject to a state's worker compensation statute, the certificate of insurance should include evidence of that coverage.

4. **Preparation:** Projected attendance at the one-day festival in 2016 is 8,500, assuming there is no inclement weather. Please estimate your needs accordingly.
5. **Beverages:** Wineries are only able to accept tickets for wine tastings and glasses of wine. Wineries are not permitted to directly sell full glasses or tastings to patrons. In addition, wineries are not permitted to sell soft drinks, bottled water, beer, energy drinks, or any other liquid beverages outside of the agreed items (wine bottle sales) as the Jolly Park CDC will be selling those items onsite to raise funds for improvements at A.J. Jolly Park.
6. **Ice:** Wineries are responsible for their own ice. Wineries may bring their own supply or ice is available for sale at the A.J. Jolly Park ranger station through the day of the festival.
7. **Serving Area:** Each winery must have an attractive preparation and serving area. Winery personnel must handle all beverage items under the same health rules and regulations required by the Northern Kentucky Independent Health District.
8. **Electrical:** Food Vendors needing 120v/20amp service will be charged \$25 for one connection. A festival representative will visit your food booth on Saturday, August 13, 2016 at 9 a.m. with the electrical inspector who will be checking electrical connections. You must be present. Please be specific about your electrical needs on the application. If more than one 20 Amp connection is required, another electric fee is applicable. If the vendor needs to use extension cords get power from the panel to your booth, only 12, 10, or better gage extension cords are permitted. These will be checked during the electrical inspection.

9. **License:** The Kentucky State ABC requires all wineries to be licensed. Please send a copy of your license with your application. Should the Kentucky State ABC visit the site, vendors are responsible for any fees assessed by ABC. A copy of the Kentucky ABC Guidelines is attached with this application
10. **Sanitation:** Wineries are responsible for maintaining acceptable standards within their booth. Each vendor is responsible for disposal of their own trash into the dumpster located on the festival grounds. Please bring bags and plan accordingly. Vendors are prohibited from using trash containers intended for festival patrons. Overflowing trash containers are not acceptable. At closing, vendors must put all boxed or bagged trash into the designated dumpster. Vendors must provide adequate personnel to comply with these garbage removal requirements. Vendors are responsible for the cleanup of all grease and food spillage at their food booth. All gray water must be disposed of in the designated location. Do not dump any substance on the grass. All grease must be removed from the site by vendor.
11. **Use of Food Exhibitor Space:** Keep the area directly in front of your booth clear of merchandise or signage. Outside space at rear of booth may be used for storage. No strolling or mobile unit sales may be set up without permission of the Jolly Park CDC. Your booth may not be closed during festival hours without permission of the Jolly Park CDC. Food vendors will use their assigned space only for the purpose of selling menu items described and identified in the Festival Agreement. All vendors must stay within their assigned 20' frontage. Vendors must specify if additional frontage space is needed as an extra charge will apply. Please contact the Jackie Huff at 859-743-4658 regarding additional frontage space.
12. **Parking Passes:** Two "Winery" passes are provided per space reservation. Additional passes may be purchased prior to the event for \$5.00. Passes the day of the event will be \$5.00 per person or \$10 per carload, but you will be required to park in the general patron area.
13. **Gaming:** No solicitation or inference of gaming is permitted. Sales of raffle tickets are prohibited.
14. **Campbell County Occupational License:** All wineries who are selling bottles of wine and other items at the festival are required by law to have a Campbell County Occupational License. Attached with this package is a blank copy of said application and contact information for securing if you do not have one. Proof of said license is required to be submitted with the application before you will be approved for your space.
15. **Release and waiver:** You agree to release, hold harmless, defend and indemnify the Jolly Park Community Development Council (CDC), the Campbell County Fiscal Court, Campbell County Parks and Recreation, Coldiron Concessions, Inc., their directors, officers, employees, agents and affiliates, from any and all loss, damage, liability, cost or expense that Jolly Park Community Development Council (CDC) and the Campbell County Fiscal Court may incur or suffer as a result of any claim of any kind whatsoever arising out of your participation in 2016 Festival on the Lake. The 2016 Festival on the Lake will not be responsible for the security of your business property, personal belongings or valuables. There are no secure areas at the festival. Vendor belongings and personal items are the responsibility of the owners.

## 2016 FESTIVAL ON THE LAKE at A.J. JOLLY PARK VENDOR CONTRACT AND APPLICATION

Application must be completed in full and accompanied by proof of a Campbell County Occupational License, Proof of Insurance (if applicable), and returned with final payment by June 1, 2016.

Please type or print clearly.

**BUSINESS NAME:** \_\_\_\_\_

**CONTACT NAME:** \_\_\_\_\_

**ADDRESS:** \_\_\_\_\_  
(Street) (City) (State) (Zip)

**PHONE NUMBER:** \_\_\_\_\_  
(Home) (Business) (Fax)

**E-MAIL ADDRESS:** \_\_\_\_\_

**KENTUCKY SALES TAX ID NUMBER:** \_\_\_\_\_

**FEDERAL TAX ID NUMBER:** \_\_\_\_\_

**FEES:**

Description	Price	Quantity	Total Amount
Food Vendor Fee – 10 ft. x 20 ft.	\$260.00 each		\$
Winery Vendor Fee – 10 ft. x 10 ft.	\$150.00 each		\$
Crafter Booth Fee – 10 ft. x 10 ft.	\$130.00 each		\$
Space Fee including Non-Profit – 10 ft. x 10 ft.	\$65.00 each		\$
Electric Power Fee: (1) 20 AMP	\$25.00 each		\$
8 ft. x 30 in. Table	\$10.00 each		\$
Chairs	\$2.00 each		\$
<b>TOTAL DUE (Make all checks payable to: <u>Jolly Park CDC</u>)</b>			\$

I will be checking in \_\_\_\_\_FRI or \_\_\_\_\_SAT at \_\_\_\_\_AM/PM (circle one)

**It is highly recommended that you check in/set up between 5:00 and 8:00 PM on Friday. Regular check in/setup begins at 8:00am on Saturday. All booths MUST be set up by 9:30AM on Saturday ready for inspection.**

Proof of liability insurance including products liability coverage with "The Jolly Park CDC, Campbell County Fiscal Court, Campbell County Parks and Recreation, Coldiron Concessions, Inc. and its assignees listed as additional insureds":

- \_\_\_\_\_ Has already been provided
- \_\_\_\_\_ Is enclosed
- \_\_\_\_\_ Will be provided by June 1, 2016

I \_\_\_\_\_ will \_\_\_\_\_ will not be towing a merchandise trailer. The length is \_\_\_\_\_ feet.

Trailer: Make \_\_\_\_\_ Color \_\_\_\_\_ License # \_\_\_\_\_

### EQUIPMENT/PRODUCT LIST

EQUIPMENT (Please list each piece separately)	POWER SOURCE • Electrical • Propane • Wood	NUMBER OF AMPS (if electrical is required)	VOLTAGE (110) – 220 is not available

Please list the products and prices of each item you plan to sell, attach an additional list if needed.

ITEMS	PRICE

Front

Back

In consideration of the Jolly Park CDC granting permission to me as a food vendor to enter and use facilities at the 2016 Festival on the Lake at A.J. Jolly Park Event on August 13, 2016.

\_\_\_\_\_ do hereby release and hold harmless the Jolly Park CDC, Campbell County Fiscal Court, Campbell County Parks and Recreation, and Coldiron Concessions Inc. (both singularly and each member or agent, their officers, agents, and employees) hereafter from any and all liability for damage to property belonging to any member/participant of the 2016 Festival on the Lake at A.J. Jolly Park

Authorized Vendor X:

\_\_\_\_\_  
Signature Date

Mailing Address is:

Attn: Jackie Huff c/o Jolly Park CDC  
Coldiron Concessions Inc.  
7623 Production Drive  
Cincinnati, Ohio 45237

(sketch floor plan with equipment – 10' x 10')

ACCOUNT ID # \_\_\_\_\_  
 C3 20 \_\_\_\_\_ NEW C2 20 \_\_\_\_\_  
 NAICS CODE \_\_\_\_\_  
 NOTICE# \_\_\_\_\_  
 INITIAL \_\_\_\_\_ DATE \_\_\_\_\_

**IMPORTANT! ZONING OR OTHER PERMIT MAY BE REQUIRED BEFORE YOU BEGIN BUSINESS ACTIVITY. CONTACT CITY OR COUNTY ON REVERSE AS NEEDED FOR DETERMINATION.**

1. Check one: \_\_\_\_\_ New Application or \_\_\_\_\_ Adding a city license to account # \_\_\_\_\_  
 2. Check below any city where business is conducted: Make check payable to "Campbell County Fiscal Court"

Total \$ \_\_\_\_\_  \$25 Campbell Co \_\_\_\_\_ \$75 Alexandria \_\_\_\_\_ \$25 Cold Spring \_\_\_\_\_ \$25 Fort Thomas  
 \_\_\_\_\_ \$75 Highland Heights \_\_\_\_\_ \$50 Melbourne \_\_\_\_\_ \$35 Southgate \_\_\_\_\_ \$50 Woodlawn

Every business or individual subject to the Campbell County Occupational License Ordinance is required to complete this application and return it to the Campbell County Fiscal Court Occupational License Inspector.

According to an opinion (OAG 85-1) of the Kentucky Attorney General, the responses that you make to questions 4, 5, 6 and 7 below are to be provided to anyone upon request, pursuant to "Kentucky Open Records Law." **WARNING:** Statements in this application shall be made under oath, or by affirmation or by any other legally authorized manner of attesting to the truth of such statement. Any false statements made herein shall be punishable according to law.

3. Check One:  Individual / Sole Proprietor (please note on Line 4 if an LLC)  
 Partnership (attach a list of general partners names, home addresses & SSN)  
 Corporation (attach a list of officers names, home addresses & SSN)  
 Non-Profit Organization (attach IRS determination of status)

READ CAREFULLY  
INSTRUCTIONS ON REVERSE  
TYPE OR PRINT LEGIBLY

4. Legal Business Name: \_\_\_\_\_  
 5. Trade Name or DBA (if other than #4 above): \_\_\_\_\_  
 6. Brief Description of Business Activity: \_\_\_\_\_

7. Primary Business Address or Corporate Headquarters:  
 No P.O. Box  
 Contact Name \_\_\_\_\_  
 Address \_\_\_\_\_  
 \_\_\_\_\_  
 City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_  
 Telephone ( ) \_\_\_\_\_

8. Campbell County, Kentucky Business Locations (Record other locations on reverse side):  
 No P.O. Box  
 Contact Name \_\_\_\_\_  
 Address \_\_\_\_\_  
 \_\_\_\_\_  
 City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_  
 Telephone ( ) \_\_\_\_\_

9. Mailing Address for Quarterly Payroll Withholding Forms (Employers Only):  
 Contact Name \_\_\_\_\_  
 Address \_\_\_\_\_  
 \_\_\_\_\_  
 City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_  
 Telephone ( ) \_\_\_\_\_

10. Mailing Address for Annual Business Return  
 Contact Name \_\_\_\_\_  
 Address \_\_\_\_\_  
 \_\_\_\_\_  
 City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_  
 Telephone ( ) \_\_\_\_\_

11. Federal ID No.   -       AND Social Security No.    -   -    (Individual/Sole)

12. Provide the current tax year-end month, if not December (must be the same as "federal"):

13. When did or will you start operating a business in Campbell County (whether inside or outside a city)? Mo   Day   Yr

14. When did or will you first pay employees for working in Campbell County and Cities? Mo   Day   Yr   I have no employees.

15. Do you or will you use "leased" employees? Yes  No  If yes, include name, address and phone of leasing agency: \_\_\_\_\_

16. Do you or will you use independent contractors? Yes  No  If yes, attach list with name, address and phone number of contractors \_\_\_\_\_

17. If business was obtained from a previous owner, or a change in the type of organization has occurred:

Give date of acquisition or change. \_\_\_\_\_

Give name of previous owner or organization. \_\_\_\_\_

Give former trade name, if any \_\_\_\_\_

18. Will any part of business activities be performed from your home? Yes  No  WndwCust \_\_\_\_\_ CrdtCrd \_\_\_\_\_ Chk# \_\_\_\_\_

**19. SIGNATURE:**

I hereby certify that I am duly authorized to act for the applicant and the statements contained herein are true and complete. Verification will be issued upon processing completed application.

If individual sign your name: \_\_\_\_\_

If partnership signature of general partner: \_\_\_\_\_

If corporation signature of corporate officer and title: \_\_\_\_\_

Printed Name: \_\_\_\_\_ Title: \_\_\_\_\_ Date: \_\_\_\_\_

(For LLC, LLP & Corporations: List ON REVERSE the Names, Addresses and Social Security Numbers of Officers /Partners OR attach separate sheet)

**For Partnerships, Corporations & S-Corporations: List the Names, Addresses and Social Security Numbers of Officers and Partners (or attach a separate sheet containing the information).**

**8. Campbell County, Kentucky Business Locations (Continued from #8 on Front Side) Attach additional list as necessary: No P.O. Box**

Contact Name: \_\_\_\_\_ Address \_\_\_\_\_ City \_\_\_\_\_ St. \_\_\_\_\_ Zip \_\_\_\_\_ Tel. ( ) \_\_\_\_\_ - \_\_\_\_\_

Contact Name: \_\_\_\_\_ Address \_\_\_\_\_ City \_\_\_\_\_ St. \_\_\_\_\_ Zip \_\_\_\_\_ Tel. ( ) \_\_\_\_\_ - \_\_\_\_\_

Contact Name: \_\_\_\_\_ Address \_\_\_\_\_ City \_\_\_\_\_ St. \_\_\_\_\_ Zip \_\_\_\_\_ Tel. ( ) \_\_\_\_\_ - \_\_\_\_\_

*Instructions for Filing the Occupational License Application*

**General Information:** Any business operating in Campbell County, Kentucky is required to complete this occupational license application (ref. Ordinance R-34-78, as amended). Campbell County Fiscal Court serves as the Occupational Tax collection agent for the Cities of Alexandria, Cold Spring, Fort Thomas, Highland Heights, Melbourne, Southgate and Woodlawn, Kentucky. Any business operating in a city is required to obtain an occupational license for that City as well as the County. Contact the Occupational License Office for more information or access our website [www.campbellcountky.org/occllic.htm](http://www.campbellcountky.org/occllic.htm).

**Instructions:** Any Occupational License Application that is incomplete and/or incorrect will be returned to the applicant. Please be sure to review the Occupational License Application to ensure that all requested information is included. Incomplete License Applications will be returned and this may result in a delay in the processing of the Occupational License. The license application fee is non-refundable.

- 1, 2. The License application fee for Campbell County is \$25. If the business is operating in any city, the fee for Campbell County must also be checked and paid. The fees will be credited against the business' annual tax/fee liability for the tax year. Non-profit organizations are required to complete the license application, but will be **exempted** from the Annual Net Profit License Fee **only** if they attach a copy of the IRS Non-Profit Determination.
3. Check the box that describes the form of business organization. Attach any required documentation to the application.
4. Fill in the complete legal business name of Individual, Partnership, Corporation or Company.
5. Fill in the trade name or the name the company will conduct business under in Campbell County, Kentucky.
6. Give a brief description of business activity (ex: grocery store; drug store; restaurant).
7. Fill in the complete **primary** business address or corporate headquarters address with a contact person. No P.O. Box.
8. Fill in the complete **physical** address of the business location in Campbell County, Kentucky (if applicable). No P.O. Box.
9. Fill in the complete **mailing** address to send **Quarterly Payroll Withholding Forms (for employers only)**.
10. Fill in the complete **mailing** address to send **Annual Occupational Tax Return Forms**.
11. Corporations and Partnerships fill in the business Federal Employer Identification Number, one number to a box. Individuals/Sole Proprietors fill in their Social Security Number, one number to a box.
12. Businesses must indicate the **LAST MONTH** of the corporate fiscal year, if other than December (must be the same as "federal").
13. Enter the date on which the business began or will begin in Campbell County Kentucky (whether within or outside a City corporate limit(s)).
14. Enter the date on which the business paid or will pay employees for work in Campbell County, Kentucky.
15. If the business will use leased or temporary employees, provide the name, address and phone number of the leasing or temporary agency.
16. Check whether business uses independent contractors and list name, address and phone number of the independent contractor(s).
17. Indicate if the business was obtained from another owner or a change in organization occurred and provide information as requested.
18. Indicate whether any part of the business will be performed in a private residence.
19. **SIGNATURE BOX: MUST INCLUDE Signature, Printed name, Title and Date.**  
 If the license application is for an individual or sole-proprietor, the individual must sign and date the form.  
 If the license application is for a partnership, a general partner must sign and date the form.  
 If the license application is for a corporation, a corporate officer must sign, include their title, and date the form.

**Attach a check payable to "Campbell County Fiscal Court" and mail with application and attachments to:  
 Campbell County Fiscal Court • Occupational License Dept • PO Box 72958 • Newport, KY 41072**

City Zoning Department Telephone (ALL AREA CODE 859)			
Campbell County Tax Partnership Cities		Other Campbell County Cities	
Alexandria	635-4125	Bellevue	431-8888
Cold Spring	441-9604	California	292-3880
Fort Thomas	441-1055	Crestview	292-3880
Highland Heights	441-8575	Dayton	491-1600
Melbourne	292-3880	Mentor	292-3880
Southgate	292-3880	Newport	292-3637
Woodlawn	292-3880	Silver Grove	292-3880
		Wilder	581-8884

## **Kentucky State ABC Guide Sheet for Small Farm Wineries**

### **Selling their Wine at Kentucky Farmers Markets-Fairs-Festivals or Similar Types of Events.**

Follow these requirements **before** you consider selling your wines away from your Ky. licensed small farm winery at fairs, festivals or similar types of events authorized under KRS 243.155 Section (2) (d). This state law allows an ABC licensed small farm winery to sell the wines they produce at their wineries or wines produced by another licensed small farm winery, if in wet territory under the following conditions:

ABC is often asked what qualifies as a "similar type of event" as defined in KRS 243.155 (2) (d). Here are some examples:

- ✓ County and town fairs or carnivals;
- ✓ Community sponsored festivals;
- ✓ Civic sponsored festival;
- ✓ Charitable sponsored events;
- ✓ Organized exhibitions and competitions; and
- ✓ "Kentucky Proud" farmers markets

On the other hand, wedding receptions, yard sales, private parties, art exhibits, or store openings are not approved events. If you are in doubt about whether a planned event qualifies as a winery "similar type of event," please contact our Distilled Spirits and Wine ABC Administrator at (502) 564-4850 for guidance.

Once you have determined this event is located in wet territory and meets the provisions under KRS 243.155 (2) (d) you shall contact the local city or county ABC administrator of the event territory. Although you are not required to obtain additional ABC licensing for these events you may need to obtain local permits or local approval for festival space, tents, tax number, or vending permits. A list of these local administrators may be obtained on our State ABC web site or by calling our office at (502) 564-4850.

City ABC Local Administrators: <http://www.abc.ky.gov/NR/rdonlyres/693A99DD-2B76-4D39-8BC8-20C39ED34365/0/CityABCAdministrators.pdf>

County ABC Local Administrators: <http://www.abc.ky.gov/NR/rdonlyres/2753A2E5-719E-48FE-9210-DEC37BF16951/0/COABCAdministratorsexl.pdf>

Listed below are additional steps you shall take when selling your wines at fairs and festivals:

1. A copy of your Ky. State ABC small farm winery license shall to be posted at the event booth. KRS 243.620
2. Only wines you produce at your licensed winery or produced by other licensed small farm wineries may be sold at the event. KRS 243.155 Section (2) (d)
3. No tasting or samplings of "free" wine and no wine may be advertised as "free." All wine shall be sold for cost or above. KRS 244.050 & 804 KAR 1:100
4. No wine shall be sold, tasted, or offered for sale **by the drink** unless local laws of the event territory permit drink sales. KRS 242.125. Check with your local ABC administrator before selling by the drink.
5. No wine shall be sold on Sundays unless Sunday sales are authorized by statute or by local ordinance at the event territory. KRS 244.290. Check with your local ABC administrator before selling wine on Sunday.
6. No wine shall be sold before or after legal hours. State law allows selling hours between 6:00 a.m. and 12:00 a.m. Monday – Saturday. Local ordinances may extend these hours under KRS 244.290. Check with your local ABC administrator for local selling hours.
7. All employee or persons selling wine to consumers shall be at least 20 years of age. KRS 244.090. Check with the local ABC administrator of the event territory and see if there is a mandatory alcohol servers training ordinance your servers and sellers must meet. You may also obtain a list of these areas that have mandated alcohol servers training at our State ABC Web Site. <http://www.abc.ky.gov/NR/rdonlyres/2A4A1D27-1177-4336-8961-6ABE4D616E18/0/MandatedServerTraining071310.pdf>
8. All persons purchasing or consuming wine must be at least 21 years of age. KRS 244.085.
9. A small farm winery may not participate at events where the event premises are currently licensed by another ABC licensee. If you have any questions regarding this requirement contact our office for additional information. Special requests shall be approved by our Kentucky State ABC Distilled Spirits and Wine Administrator.
10. Wines sold to the consumer by the package shall be sold in unopened containers and shall not be opened or consumed on your licensed premises or the event site. Package sales are to be carried away from the licensed premises by the consumer.



## **Kentucky State ABC Guide Sheet for Small Farm Wineries** **Selling their Wine at Kentucky Farmers Markets-Fairs-Festivals or Similar Types of Events.**

**243.155 Small farm winery license -- Eligibility and application process -- Business authorized by license -- Off-premise retail sales outlet in wet territory -- Use of Kentucky products -- Other permitted licenses -- Application to persons previously licensed as small or farm wineries.**

(1) Any in-state or out-of-state small farm winery may apply for a small farm winery license. In addition to all other licensing requirements, an applicant for a small farm winery license shall submit with its application a copy of the small farm winery's federal basic permit and proof documenting its annual wine production. An out-of-state winery shall submit additional documentation evidencing its resident state. As part of the application process, an out-of-state winery shall publish its notice of intent, as required by KRS 243.360, in the Kentucky newspaper of highest circulation. The department shall promulgate administrative regulations establishing the form the documentation of proof of production shall take.

**(2) A small farm winery license shall authorize the licensee to perform the following functions without having to obtain separate licenses, except that each small farm winery off-premises retail site shall be separately licensed:**

(a) Manufacture wines and bottle wines produced by that small farm winery;

(b) Bottle wines produced by another small farm winery;

(c) Serve on the premises or at small farm winery off-premise retail sites complimentary samples of wine produced by it in amounts not to exceed six (6) ounces per patron per day, if the small farm winery or its off-premise retail site is located in wet territory;

**(d) Sell by the drink or by the package on premises, at small farm winery off-premise retail sites, and at fairs, festivals, and other similar types of events, wine produced on the premises of the small farm winery or produced by a licensed small farm winery, at retail to consumers if all sales sites are located in wet territory;**

(e) Sell and transport wine produced on the premises of the small farm winery to wholesale license holders and small farm winery license holders;

(f) Consume on the premises wine produced by the small farm winery or a licensed small farm winery and purchased by the drink or by the package at the licensed premises, if the small farm winery is located in wet territory; and

(g) Ship to a customer wine produced by a small farm winery if:

1. The wine is purchased by the customer in person at the small farm winery;

2. The wine is shipped by licensed common carrier; and

3. The amount of wine shipped is limited to two (2) cases per customer per visit.

(3) If a licensed small farm winery is located in a dry territory, KRS 242.230 to 242.430 shall apply, unless a local option election is held in accordance with the provisions of this subsection. A limited sale precinct election may be held in a precinct containing a licensed small farm winery or a proposed small farm winery located in a dry territory. The election shall be held in the same manner as prescribed by KRS 242.010 to 242.040 and 242.060 to 242.120. If the precinct contains a licensed small farm winery, the proposition to be voted on shall state, "Are you in favor of the sale of wine at the (name of the licensed small farm winery or wineries)?" If the precinct contains a proposed small farm winery or wineries, the proposition voted on shall state, "Are you in favor of the sale of wine at the (name of the proposed small farm winery or wineries)?" If the proposition is approved, a licensed small farm winery within the precinct may sell wine in accordance with subsection (2) of this section.

(4) Other provisions of this chapter and KRS Chapter 244 notwithstanding, a small farm winery license holder may also hold a restaurant wine license and a retail malt beverage license, provided the issuance of these licenses is in connection with the establishment and operation of a restaurant, hotel, inn, bed and breakfast, conference center, or any similar business enterprise the purpose of which is to promote viticulture, enology, and tourism. The retail malt beverage license issued under this subsection shall limit the licensee to the sale of malt beverages for consumption on the premises only.

(5) This section shall not exempt the holder of a small farm winery license from the provisions of KRS Chapters 241, 242, 243, and 244, nor from the administrative regulations of the board, nor from regulation by the board at all premises licensed by the small farm winery, except as expressly stated in this section.

(6) Nothing contained in this section shall exempt a licensed out-of-state winery from obeying the laws of its resident state.

(7) Any person previously licensed as a small or farm winery under this chapter prior to January 1, 2007, shall hereby be authorized to conduct business as a small farm winery licensee, until such time as the term of his or her small or farm winery license expires. Upon the expiration of the term remaining on his or her small or farm winery license, a licensee who is in good standing shall be issued a small farm winery license as part of the renewal process after he or she submits to the department the winery's federal basic permit and proof of its annual wine production.

**Effective:** July 15, 2010

**History:** Amended 2010 Ky. Acts ch. 24, sec. 569, effective July 15, 2010. -- Amended 2006 Ky. Acts ch. 179, sec. 1, effective January 1, 2007. -- Amended 2005 Ky. Acts ch. 142, sec. 1, effective June 20, 2005. -- Amended 2000 Ky. Acts ch. 167, sec. 1, effective July 14, 2000. -- Amended 1998 Ky. Acts ch. 357, sec. 1, effective July 15, 1998. -- Amended 1996 Ky. Acts ch. 148, sec. 1, effective July 15, 1996. -- Amended 1994 Ky. Acts ch. 451, sec. 1, effective July 15, 1994. -- Amended 1990 Ky. Acts ch. 54, sec. 5, effective July 13, 1990. -- Amended 1988 Ky. Acts ch. 433, sec. 2, effective July 15, 1988. -- Amended 1982 Ky. Acts ch. 244, sec. 2, effective July 15, 1982. -- Created 1976 Ky. Acts ch. 381, sec. 2.

**Kentucky State ABC Guide Sheet for Small Farm Wineries**  
**Selling their Wine at Kentucky Farmers Markets-Fairs-Festivals or Similar Types of Events.**

**Mandated Server Training**

The following cities or counties have passed local ordinances requiring  
 "Responsible Alcoholic Beverage Server Training"

Revised 08/01/11

<b>CITY OR COUNTY</b>	<b>LOCAL ABC ADMINISTRATOR</b>	<b>PHONE NUMBER</b>
1. Bowling Green	Doug Hawkins	270-393-3000
2. Boyd County	Valerie N. Smith	606-739-0010
3. Burnside	Craig Whitaker	606-561-4113
4. Cadiz	Hollis L. Alexander	270-522-8369
5. Calvert City	John E. Ward	270-395-7138
6. Campbellsville	Allen Crabtree	270-465-7011
7. Carrollton	Michael Willhoite	502-732-6621
8. Catlettsburg	Valarie N. Smith	606-739-0104
9. Cave City	Pamela N. Hunt	270-773-2188
10. Coal Run Village	C. La Verne Dye	606-437-6032
11. Corbin	Col Gary Bruce Rains	606-523-1122
12. Danville	Tom Bustle or Bridgette Milby	859-238-1200
13. Dawson Springs	Bill Crider	270-797-2277
14. Dry Ridge	Clay Crupper, Mayor	859-823-7561
15. Elizabethtown	Thomas S. Reynolds	270-765-6121
16. Franklin County	Ted Collins, Co. Judge Ex.	502-875-8751
17. Frankfort	Doug Young or Steve Dawson	502-875-8500
18. Georgetown	Karen Tingle-Sames, Mayor	502-863-9800
19. Glasgow	Brandon Kerney	270-651-5131
20. Hardinsburg	Holly Fowler	270-756-2213
21. Harlan (City)	Michael Thomas, City Chief of Police	606-573-2912
22. Harrodsburg	Rebecca McKinney	859-734-7705
23. Hickman	Donna Haney	270-236-2535
24. LaGrange	Kevin Collett	502-225-0444
25. Lancaster	Tom Royce	859-792-2241
26. Lexington/Fayette County	Frank E. Wilson	859-258-3796
27. Leitchfield	Ms. Erin Embry	270-259-4034
28. London	Stewart Walker	606-878-7004
29. Lyndon	Stacey Woodward	502-423-0932
30. Mayfield	Arthur Byrn and Tamie Johnson	270-247-1981
31. Mt. Sterling	Michael Jones	895-498-8725
32. Mt. Washington	Melvin D. Davis	502-538-8143
33. Murray	Bill Wells	270-762-3050
34. Nicholasville	Jerry Lovett	859-967-6678
35. Oak Grove	Dan Potter and Dianna Alfarano	270-439-4646
36. Oldham County	Craig Smith	502-222-1300
37. Owensboro	Jeffery Ashworth	270-687-8550
38. Paintsville	Robert Porter	606-789-2600
39. Radcliff	Ashley C. Russo	270-351-4714
40. Richmond	Mark Shepherd	859-623-3877
41. Russellville	Sgt. Victor Shifflett and Berry Dill	270-726-7669
42. Shelby County	Paula Webb	502-633-1229
43. Shelbyville	Robert Schutte and Maj. Danny Goodwin	502-931-0508
44. Spencer County	Robert Allen Jones, County Judge Executive	502-477-3205
45. Trigg County	Stan Humphries, County Judge Executive	270-350-0053
46. Union County	Jody Jenkins, County Judge Executive	270-389-1081
47. Whitesburg	Eleanor Caudill	606-633-3703
48. Williamstown	Glenn Caldwell	859-824-6351
49. Earlington	David Gamble	270-383-5364
50. Manchester	George Saylor	606-598-3456

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**Kentucky ABC contact information:**

Commonwealth of Kentucky  
Department of Alcoholic Beverage Control  
1003 Twilight Trail, Suite A  
Frankfort, Kentucky 40601  
(502) 564-4850

<http://www.abc.ky.gov/>

Distilled Spirits and Wine Administrator

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Asst. Distilled Spirits & Wine Administrator

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ABC Licensing Division (Distilled Spirits & Wine Unit)

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